#15 4-31-04

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2655
Darryl Rubin et al.) Examiner: Jackson, Jakieda R.
Serial Number: 09/768,813) Attorney Docket No. 003797.81833
Filed: January 25, 2001) Confirmation No. 1317

For: ANNOTATING ELECTRONIC INFORMATION WITH AUDIO CLIPS

INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 MAR 0 9 2004

Technology Center 2600

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed is a PTO Form – PTO/SB/08a listing art for consideration by the Examiner and a copy of the identified document.

Consideration of this information is respectfully requested.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The accompanying Information Disclosure Statement is being filed before receipt of a first Office Action on the merits. No certification or fee is required. However, in the event a fee is due, please charge Deposit Account No. 19-0733. Further, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

Information Disclosure Statement

Serial No. 09/768,813

Page 2

Certification Under 37 C.F.R. §1.97(e)(2)

We hereby certify under 37 CFR §1.97(e)(2) that no item of information contained in the Information Disclosure Statement filed concurrently herewith was cited

in a communication from a foreign patent office in a counterpart foreign application, and,

to the knowledge of the person signing the certification after making reasonable inquiry,

no item of information contained in the Information Disclosure Statement was known to

any individual designated in § 1.56(c) more than three months prior to the filing of the

Information Disclosure Statement.

It is respectfully requested that the Examiner fully consider the document, initial

the enclosed PTO Form - PTO/SB/08a in the appropriate place to indicate that the

document has been considered, and return a copy of the initialed form to the undersigned

in accordance with MPEP Section 609.

Respectfully submitted,

Date: March 4, 2004

Gary D. Fedorochko

Registration No. 35,509

Banner & Witcoff, LTD 1001 G Street, N.W. Washington, D.C. 20001-4597 (202) 824-3000

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE pns are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no get

MAR 0 4 2004 Substitute for form 1449A/PTO INFORMATION DISCLOS STATEMENT BY APPER

C mpl te if Known						
Application Number	09/768,813					
Filing Date	01/25/01					
First Named Inventor	Darryl Rubin et al.					
Art Unit	2655					
Examiner Name	Jackson, Jakieda R.					

(use as many sheets as necessary)

of 003797.81833 Sheet 1 Attorney Docket Number

			U.S. PATENT [DOCUMENTS	
Examiner Cite No.1	Cito	Cite Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
		US-			
-		US-			RECEIVED
		US-			
		US-			MAR 0 9 2004
		US-			
		US-			Technology Center 26
		US-			
	1	US-			
	T	US-			
		US-			

	FOREIGN PATENT DOCUMENTS							
-		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant			
Examiner Initials*		Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵			
		EP 0 902 379 A2	03-17-1999	Xerox Corp.				
			l					

		y	
Examiner Signature	Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

63.10.00 2655 10/SB/21 (08-00)

Please type a plus sign (+) inside this box -> +

PTO/SB/21 (08-00)
Approved for use through 19:31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SIFE		Applic	ation Number	09/768,81	3
TRANSMITTAL	•	Filing	Date	01/25/01	MEC
AR 0 4 2004 F FORM		First N	lamed Inventor	Darryl Ru	bin et al.
(to be used (б) all correspondence after i	nitial filing)	Group	Group Art Unit		Jakieda R.
RANFAR		Exami	ner Name	Jackson,	Jakieda R.
otal Number of Pages in This Submission	18	Attorne	ey Docket Number	003797.8	
	ENCL	OSURES	(check all that apply)		
☐ Fee Transmittal Form		ment Pap Application		After A Group	llowance Communication to
Fee Attached	☐ Drawin	g(s)			l Communication to Board of ls and Interferences
Amendment / Response	Licensi	ing-relate	d Papers		l Communication to Group I Notice, Brief, Reply Brief)
After Final	Petition	1		Proprie	etary Information
Affidavits/declaration(s)	Petition to Convert to a Provisional Application			☐ Status	Letter
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address				Enclosure(s) identify below):
Express Abandonment Request			nal Disclaimer		O/SB/08a Form oreign patent document
Information Disclosure Statement	CD, N	Number of CD(s)			
Certified Copy of Priority Document(s)	Rema	ırks			RECEIVED
Response to Missing Parts/ Incomplete Application					MAR 0 9 2004
Response to Missing Parts under 37 CFR		•			
1.52 or 1.53					Technology Center 2
SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, C	R AGENT	
Firm or Individual name Gary D. Fedoroch	ko, Reg. No.	35,509			
Signature Signature) Ze	don	hh		
Date March 4, 2004					
V.	CE	RTIFICA	TE OF MAILING		
hereby certify that this correspondence	is being depo	sited with	the United States Pos	tal Service as	first class mail in an envelope
addressed to: Assistant Commissioner for	or Patents, W	/ashingto	n, D.C. 20231 on this da	ate:	
Typed or printed name					
Signature				Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

for use through 07/31/2006. OMB 0651-0032 Office: U.S. DEPARTMENT OF COMMERCE App U.S. Patent and Trac

TE TO ANION	Complete if Known					
FEETRANSM	Application Number	09/768,813				
MAR 0 4 2004 for FY 2004 Effective 10/45/2003. Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27		Filing Date	01/25/01	RECEIVE		
		First Named Inventor	Darryl Rubin et al.	`		
		Examiner Name	Jackson, Jakieda R.	MAR 0 9 200		
		Art Unit	2655	T-1-1-0		
TOTAL AMOUNT OF PAYMENT (\$	Attorney Docket No.	003797.81833	Technology Center			
METHOD OF PAYMENT (check	all that apply)		FEE CALCULATION (con	tinued)		
		3. ADDITIONAL FEES				

METHOD OF PAYMENT (check all that apply)						FFF C	ALCULATION (continued)	
			3. AI	DITIO	NAL FE		and the second second	
Check Credit card Money Other None Order		- 1	Large Entity Small Entity					
□ Deposit Account:		Large	Entity	Small E	ntity			
•			Fee Code	Fee	Fee Code	Fee	Fee Description	Fee Paid
Deposit Account	19-0733		1051	(\$) 130	2051	(\$) 65	Surcharge - late filing fee or oath	
Number	19-07-33	,	1052	50	2052	25	Surcharge - late provisional filing fee	
							or cover sheet.	
Deposit	Bannar 9 Witcoff Ti	n	1053	130	1053	130	Non-English specification	
Account Name	Banner & Witcoff, LT	D.	1812	2,520	1812	2,520	For filing a request for reexamination	L
	uthorized to: (check all that appl	vl	1804	920*	1804	920°	Requesting publication of SIR prior to Examiner action	
	indicated below 🖾 Credit any or		1805	1.840°	1805	1.840°	Requesting publication of SIR after	\vdash
	ditional fee(s) during the pendeng			.,		.,00	Examiner action	
	indicated below, except for the fi ified deposit account.	iling fee	1251	110	2251	55	Extension for reply within first month	
to the above-iden	FEE CALCULATION		1252	420	2252	210	Extension for reply within second	
			1253	950	2253	475	month Extension for reply within third month	\vdash
	ILING FEE		1254	1.480	2254	740	Extension for reply within fourth	
	Small Entity		1,204	1,400	2207	140	month	L
	Fee Fee <u>Fee Description</u> Code (\$)	Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
	2001 385 Utility filing fee		1401	330	2401	165	Notice of Appeal	
1002 340	2002 170 Design filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1003 530	2003 265 Plant filing fee		1403	290	2403	145	Request for oral hearing	
	2004 385 Reissue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160	1005 160 2005 80 Provisional filling fee		1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0			1453	1,330	2453	665	Petition to revive - unintentional	
			1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLA	IM FEES FOR UTILITY AND		1502	480	2502	240	Design issue fee	
	Extra Fed Claims bel	e from Fee ow Paid	1503	640	2503	320	Plant issue fee	
Total Claims	- ** = 0 X	= 0	1460	130	1460	130	Petitions to the Commissioner	
Independent			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	×	= 0					Recording each patent assignment	
•			8021	40	8021	40	per property (times number of properties)	
Large Entity Fee Fee	Small Entity Fee Fee		1809	770	2809	385	Filing a submission after final rejection	
Code (\$)	Code (\$) Fee Descript	<u>ion</u>	1,000		1000	000	(37 CFR § 1.129(a))	
1202 18	2202 9 Claims in exc	ess of 20	1810	770	2810	385	For each additional invention to be	
1201 86	1	daims in excess of 3					examined (37 CFR § 1.129(b))	\vdash
1203 290	1	ndent claim, if not paid	1	770	2801	385	Request for Continued Examination (RCE)	
1204 86	2204 43 ** Reissue inc original paten	dependent claims over t	1802	900	1802	900	Request for expedited examination of a design application	
1205 18		ims in excess of 20 ar	ıd				o, a design approaudn	\perp
	over original p	oatent	Other	fee (spec	ify)	_		
	SUBTOTAL (2)	(\$) 0		•				
	'' [*Redu	iced by B	asic Filing	g Fee Pa	aid SUBTOTAL (3) (\$) 0	
**or number prev	iously paid, if greater, For Reissues, se	e above						

SUBMITTED BY		•			Complete (if applicable)	
Name (Print/Type)	Gary D. Fedorochko	Registration No. (Attorney/Agent)	35,509	Telephone	(202) 824-3000	
Signature	Acres 1)	Declarable		Date	March 4, 2004	

WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR N7 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. . Box 1450, Alexandria, VA 22313-1450.

If you need essistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.